

**INFORMATION DISCLOSURE
CITATION**

ATTY. DOCKET NO.

SERIAL NO.

4398-303

10/700,252

APPLICANT

KWOK et al.

FILING DATE

TC/A.U.

November 4, 2003

3743



(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)

[illegible]

***Examiner**

Nihar Patel

Date Considered

06. ¹⁰~~09~~.05

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

FORM PTO-1449 (modified)
To: U.S. Department of Commerce
(PW FORM PAT-1449)
Patent and Trademark Office

Atty. Dkt. No.	M# 4398-303	Client Ref.
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**INFORMATION DISCLOSURE STATEMENT
BY APPLICANT**

Applicant: Kwok et al.	
Appl. No.: NewContinuation of USSN 10/264,325	
Filing Date: November 4, 2003	
Examiner: G. Dawson	Group Art Unit: 3761

Date: November 4, 2003 Page 1 of 12

U.S. PATENT DOCUMENTS

Examiner's Initials*		Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (If appropriate)
NP	1R	5,220,699	06/1993	FARRIS			
	2R	4,919,128	04/1990	KOPALA et al.			
	3R	6,119,693	09/2000	KWOK et al.			
	4R	6,443,355	06/2001	GUNARATNAM et al.			
	5R	6,463,931	10/2002	KWOK et al.			
NP	6R	6,520,182	02/2003	GUNARATNAM			
	7R						
	8R						
	9R						
	10R						
	11R						
	12R						
	13R						
	14R						
	15R						
	16R						
	17R						

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						Abstract		Readily Available		
		Document Number	Date MM/YYYY	Country	Inventor Name		Enclosed	No	Enclos	No
NP	18R	WO 98/24499	06/1998	PCT	KWOK					
/	19R	WO 00/57942	10/2000	PCT	Gunaratnam					
	20R	2 186 801	08/1987	Great Britain						
NP	21R	11-000397	01/1999	Japan						

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

NP	22R	Product Brochure for "Sullivan Mirage" Face Mask, ©1997, ResMed, 4 pages			
	23R	Product Brochure for "Sullivan Mirage" Face Mask, ©1998, ResMed, 4, pages			
	24R	Color Photo of "Weinmann Mask" 1998, 1 page			
	25R	"The ResMed Range of Mask Systems" product brochure, November 1995, 4 pages.			
	26R	"Somnotron CPAP-Gerat WM 2300" instruction manual, Weinmann Hamburg (1991), 11 pages.			
NP	27R	9 photographs of Weinmann mask, WM 23122, 1991.			

Examiner: <i>Nikhil Patel</i>	Date Considered: 06-10-05
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NP	28R	1,926,027	09/1933	BIGGS		
	29R	2,123,353	07/1938	CATT		
	30R	2,248,477	07/1941	LOMBARD		
	31R	2,254,854	09/1941	O'CONNELL		
	32R	2,371,965	03/1945	LEHMBERG		
	33R	2,438,058	03/1948	KINCHELOE		
	34R	2,931,356	04/1960	SCHWARZ		
	35R	Des. 188,084	05/1960	GARELICK		
	36R	2,939,458	06/1960	LUNDQUIST		
	37R	3,189,027	06/1965	BARTLETT		
	38R	3,238,943	03/1966	HOLLEY		
	39R	3,315,674	04/1967	BLOOM et al.		
	40R	3,330,273	07/1967	BENNETT		
	41R	3,362,420	01/1968	BLACKBURN et al.		
	42R	3,363,833	01/1968	LAERDAL		
	43R	3,556,122	01/1971	LAERDAL		
	44R	3,700,000	10/1972	HESSE, Deceased et al.		
	45R	3,796,216	03/1974	SCHWARZ		
	46R	Des. 231,803	06/1974	HUDDY		
	47R	4,077,404	03/1978	ELAM		
	48R	Des. 250,131	10/1978	LEWIS et al.		
	49R	4,167,185	09/1979	LEWIS		
NP	50R	4,226,234	10/1980	GUNDERSON		

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Document Number	Date MM/YYYY	Country	Inventor Name	English Abstract	Translation Readily Available
				Enclosed	No
51R				Enclose	No

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

NP	52R	Mask 1 Photographs, Respironics Inc., Reusable Full Mask (small) Part #452033 Lot #951108.
	53R	Mask 2 Photographs, Puritan - Bennett, Adam Curcuit, Shell Part #231700, Swivel Part #616329-00, Pillows (medium) Part #616324.
	54R	Mask 3 Photographs, DeVilbiss Healthcare Inc., Devilbiss Seal-Ring and CPAP Mask Kit (medium), Part #73510-669.
NP	55R	Mask 4 Photographs, Respironics Inc., Monarch Mini Mask with Pressure Port. Part #572004, Monarch Headgear, Part #572011.

Examiner

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Examiner's Initials*	Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
NP	56R 4,245,632	01/1981	HOUSTON			
	57R 4,304,229	12/1981	CURTIN			
	58R Des. 262,322	12/1981	MIZERAK			
	59R 4,328,797	05/1982	ROLLINS et al.			
	60R 4,347,205	08/1982	STEWART			
	61R 4,354,488	10/1982	BARTOS			
	62R 4,402,316	09/06/83	GADBERRY			
	63R 4,412,537	11/01/83	TIGER			
	64R 4,522,639	06/11/85	ANSITE, et al.			
	65R 4,622,964	11/18/86	FLYNN			
	66R 4,655,213	04/07/87	RAPOPORT et al.			
	67R 4,665,570	05/19/87	DAVIS			
	68R 4,671,271	06/09/87	BISHOP, et al.			
	69R 4,677,977	07/07/87	WILCOX			
	70R Des. 293,613	01/05/88	WINGLER			
	71R 4,770,169	09/13/88	SCHMOEGNER et al.			
	72R 4,774,941	10/04/88	COOK			
	73R 4,782,832	11/08/88	TRIMBLE et al.			
	74R 4,821,713	04/18/89	BAUMAN			
	75R 4,841,953	06/27/89	DODRILL			
	76R 4,848,334	07/18/89	BELLM			
	77R 4,848,366	07/18/89	AITA, et al.			
NP	78R 4,907,584	03/13/90	MCGINNIS			

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Document Number	Date MM/YYYY	Country	Inventor Name	English Abstract	Translation Readily Available
				Enclosed	No
79R				Enclose	No

OTHER (Including in this order: Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

NP	80R	Mask 5 Photographs, Healthdyne Technologies, Nasal CPAP Mask (medium narrow), Part #702510.
	81R	Mask 6 Photographs, Healthdyne Technologies, Soft Series Nasal CPAP Mask, Part #702020.
	82R	Mask 7 Photographs, DeVilbiss Healthcare Inc., Small Mask and Seal Rings, Part #73510-668.
NP	83R	Mask 8 Photographs, Respirationics Inc., Reusable Contour Mask (medium), Part #302180.

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Group Art Unit: 3761

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MP	84R	Des. 310,431	09/04/90	BELLM			
	85R	4,971,051	11/20/90	TOFFOLON			
	86R	4,989,599	02/05/91	CARTER			
	87R	5,005,571	04/09/91	DIETZ			
	88R	5,005,568	04/09/91	LOESCHER, et al.			
	89R	5,038,776	08/13/91	HARRISON et al.			
	90R	5,042,473	08/27/91	LEWIS			
	91R	5,042,478	08/27/91	KOPALA, et al.			
	92R	5,109,840	05/05/92	DALEIDEN			
	93R	5,133,347	07/28/92	HUENNEBECK			
	94R	5,140,982	08/25/92	BAUMAN			
	95R	Des. 334,633	04/06/93	RUDOLPH			
	96R	5,243,971	09/14/93	SULLIVAN et al.			
	97R	5,265,595	11/30/93	RUDOLPH			
	98R	5,279,289	01/18/94	KIRK			
	99R	5,343,878	09/06/94	SCARBERRY et al.			
	100R	5,357,951	10/25/94	RATNER			
	101R	5,419,318	05/30/95	TAYEBI			
	102R	5,429,683	07/04/95	LE MITOUARD			
	103R	5,431,158	07/11/95	TIROTTA			
	104R	5,438,981	08/08/95	STARR et al.			
MP	105R	5,441,046	08/15/95	STARR et al.			

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		Document Number	Date MM/YYYY	Country	Inventor Name		English Abstract		Translation Readily Available	
							Enclosed	No	Enclose	No
		106R								

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.):

MP	107R	Mask 9 Photographs, Healthdyne Technologies, Healthdyne Large Headgear.
	108R	Mask 10 Photographs, Respironics Inc., Soft Cap (medium), Part #302142.
	109R	Mask 11 Photographs, Weinmann; Hamburg, Nasalmaskensystem mit Schalldämpfer (medium), Part #WN 23105.
MP	110R	Mask 12 Photographs, Life Care.

Examiner

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Examiner: G.K. Dawson Group Art Unit: 3761

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U.S. PATENT DOCUMENTS

Exami ner's Initials*		Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
N	111R	Des. 362,061	09/05/95	MCGINNIS et al.			
	112R	5,477,852	12/26/95	LANDIS et al.			
	113R	5,488,948	02/06/96	DUBRUILLE, et al.			
	114R	5,492,116	02/20/96	SCARBERRY et al.			
	115R	5,501,214	03/26/96	SABO			
	116R	5,517,986	05/21/96	STARR et al.			
	117R	5,540,223	07/30/96	STARR et al.			
	118R	5,560,354	10/01/96	BERTHON-JONES et al.			
	119R	5,570,689	11/05/96	STARR et al.			
	120R	Des. 377,089	12/31/96	STARR et al.			
	121R	5,592,938	01/14/97	SCARBERRY et al.			
	122R	5,647,355	07/15/97	STARR et al.			
	123R	5,647,357	07/15/97	BARNETT et al.			
	124R	5,655,527	08/12/97	SCARBERRY et al.			
	125R	5,657,752	08/19/97	LANDIS et al.			
	126R	5,662,101	09/02/97	OGDEN et al.			
	127R	5,685,296	11/11/97	ZDROJKOWSKI, et al.			
	128R	5,687,715	11/18/97	LANDIS, et al.			
N	129R	5,715,814	02/10/98	EBERS			

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		Document Number	Date MM/YYYY	Country	Inventor Name	English Abstract		Translation Readily Available	
						Enclosed	No	Enclose	No
N	130R	WO 80/01044	05/29/80	PCT					
	131R	DD 159396	06/04/81	GERMANY					
	132R	DE 3345067 A1	06/20/84	GERMANY					
	133R	GB 2145335 A	03/27/85	GREAT BRITAIN					
	134R	GB 2147506 A	05/15/85	GREAT BRITAIN					
	135R	EP 0 252 052 A1	01/07/88	EUROPE					
N	136R	EP 0 264 772 A1	04/27/88	EUROPE					

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

IP	137R	Mask 13 Photographs, Healthdyne Technologies.
I	138R	Mask 14 Photographs, King System.
I	139R	Mask 15 Photographs, Respironics Inc., Pediatric Mask.
N	140R	Mask 16 Photographs, Hans Rudolph Inc., Hans Rudolph Silicone Rubber Face Mask/8900.

Examiner *[Signature]* Date Considered: 06.10.05

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Examiner's Initials*		Document Number	Date MM/YYYY Y	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
NP	141R	2,317,608	04/1943	HEIDBRINK			
	142R	3,013,556	12/1961	GALLEHER			
	143R	3,580,051	05/1971	BLEVINS			
	144R	4,467,799	08/1984	STEINBERG			
	145R	4,616,647	10/1986	McCREADIE			
	146R	4,809,692	03/1989	NOWACKI et al.			
	147R	4,910,806	03/1990	BAKER et al.			
	148R	5,046,200	09/1991	FEDER			
	149R	5,069,205	12/1991	URSO			
	150R	5,121,745	06/1992	ISRAEL			
	151R	5,429,126	07/1995	BRACKEN			
	152R	5,542,128	08/1996	LOMAS			
	153R	5,570,682	11/1996	JOHNSON			
	154R	5,666,946	09/1997	LANGENBACK			
NP	155R	5,746,201	05/1998	KIDD			

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		Document Number	Date MM/YYYY Y	Country	Inventor Name	English Abstract		Translation Readily Available	
						Enclosed	No	Enclose	No
NP	156R	DE 4004157 C1	04/11/91	GERMANY					
	157R	EP0427474 A2	05/15/91	EUROPEAN					
	158R	AU 91/77110 B	11/21/91	AUSTRALIA					
	159R	EP 0 462 701 A1	12/27/91	EUROPE					
	160R	WO 92/20395	11/26/92	PCT					
	161R	WO 93/01854	02/04/93	PCT					
	162R	WO 94/02190	02/03/94	PCT					
	163R	AU 94/64816 B	12/22/94	AUSTRALIA					
	164R	WO 96/17643	06/13/96	PCT					
	165R	EP 0 747 078 A2	12/11/96	EUROPE					
	166R	WO 96/39206	12/12/96	PCT					
	167R	WO 97/07847	03/06/97	PCT					
NP	168R	JP 09/216240 A	08/19/97	JAPAN					

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						Enclosed	No	Enclose	No
ND	169R	DE 459, 104	04/1928	GERMANY					
	170R	1039144	09/1928	CANADIAN					
	171R	1,395,391	05/1975	GREAT BRITAIN					
	172R	GB 1 467 828	03/1977	GREAT BRITAIN					
	173R	EP 0 054 154	10/1981	EUROPEAN					
	174R	DE 3015279 A1	10/1981	GERMANY					
	175R	GB 2 164 569A	03/1986	GREAT BRITAIN					
	176R	FR 2 574 657 A1	06/1986	FRANCE					
	177R	WO 86/06969	12/1986	PCT					
	178R	WO 87/01950	04/1987	PCT					
	179R	DE 3537507	04/23/87	GERMANY					
	180R	DE 3539073 A1	05/14/87	GERMANY					
	181R	EP 0 386 605 A1	02/28/90	EUROPEAN					
	182R	WO 91/03277	03/21/91	PCT					
	183R	FR 2 658 725 A1	08/31/91	FRANCE					
	184R	WO 92/15353	09/17/92	PCT					
	185R	EP 0 602 424	11/25/93	EUROPEAN					
	186R	GB 2 267 648 A	12/15/93	GREAT BRITAIN					
	187R	EP 0 608 684 A1	08/03/94	EUROPEAN					
	188R	WO 97/41911	11/13/97	PCT					
MP	189R	WO 94/16759	08/04/94	PCT					

OTHER: (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

190R				
191R				
192R				
193R				

Examiner

John P.

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MP	194R	781,516	01/31/05	GUTHRIE			
	195R	812,706	02/13/06	WARBASSE			
	196R	1,081,745	12/16/13	JOHNSTON ET AL.			
	197R	1,192,186	07/25/16	GREENE			
	198R	1,653,572	12/20/27	JACKSON			
	199R	2,376,671	05/24/45	FINK			
	200R	2,415,846	02/18/47	RANDALL			
	201R	2,578,621	12/11/51	YANT			
	202R	3,720,235	03/13/73	SCHROCK			
	203R	3,779,164	03/26/74	ROLLINS			
MP	204R	4,558,710	12/17/85	EICHLER			
	205R						
	206R						
	207R						
	208R						

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						Enclosed	No	Enclose	No
MP	209R	WO 94/20051	09/15/94	PCT					
	210R	EP 0 0697 225	07/13/95	EUROPEAN					
	211R	AU A 32914/95	02/15/96	AUSTRALIA					
	212R	EP 178 925 A2	04/23/96	EUROPEAN					
	213R	WO 96/25983	08/29/96	PCT					
	214R	FR 2 749 176	12/05/97	FRANCE					
	215R	DE 197 35 359	01/29/98	GERMANY					
	216R	EP 0 821 978	02/04/98	EUROPEAN					
	217R	WO 98/04310	02/05/98	PCT					
	218R	WO 98/11930	03/26/98	PCT					

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

219R				
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Examiner *N.A. [Signature]* Date Considered: 06-10-05

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MP	220R	4,677,975	07/07/87	EDGAR ET AL.			
	221R	4,739,755	04/26/88	WHITE ET AL.			
	222R	4,799,477	01/24/89	LEWIS			
	223R	4,819,629	04/11/89	JONSON			
	224R	4,938,210	07/30/90	SHENE			
	225R	4,938,212	07/03/90	SNOOK ET AL.			
	226R	4,944,310	07/31/90	SULLIVAN			
	227R	4,986,269	01/22/91	HAKKINEN			
	228R	5,063,922	11/12/91	HAKKINEN			
MP	229R	Des. 323,908	02/11/92	HOLLISTER ET AL.			
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							Enclosed	No	Enclose	No
MP	234R	AU A 41018/97	04/30/98	AUSTRALIA						
	235R	WO 98/18514	05/07/98	PCT						
	236R	WO 98/26829	06/25/98	PCT						
	237R	WO 98/26830	06/25/98	PCT						
	238R	DE 297 23 101	07/09/98	GERMANY						
	239R	WO 95/02428	01/26/95	PCT						
	240R	DE 4343205 A1	06/22/95	Germany						
MP	241R	AU 95/16178 B	07/13/95	Australia						
	242R	AU 9459430	02/29/96	Australia						
	243R									

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.):

244R	
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Examiner: *[Signature]* Date Considered: 06.10.05

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449 (modified)
To: U.S. Department of Commerce
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Atty.
Dkt. No.

M#

Client Ref.

4398-303

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Applicant: KWOK et al

Appl. No.: New Continuation of USSN 10/264,326

Filing Date: November 4, 2003

Date: November 4, 2003

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Examiner: G.K. Dawson

Group Art Unit: 3761

U.S. PATENT DOCUMENTS

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M2	245R	5,109,839	05/05/92	BLASDELL ET AL.			
	246R	5,159,938	11/03/92	LAUGHLIN			
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	248R	5,231,983	08/03/93	MATSON ET AL.			
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	251R	5,311,862	05/17/94	BLASDELL ET AL.			
	252R	5,322,057	06/21/94	RAABE ET AL.			
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M2	258R	5,538,000	07/23/96	RUDOLPH			

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		Document Number	Date MM/Y YYY	Country	Inventor Name		Enclosed	No	Enclose	No
	259R									
	260R									
	261R									
	262R									
	263R									
	264R									
	265R									
	266R									
	267R									
	268R									
	269R									

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.):

270R									
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Examiner's Initials*		Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
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	273R	5,608,647	03/04/97	RUBSAMEN ET AL.			
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						Enclosed	No
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	288R	DE 298 10846 U1	08/20/98	GERMANY			
	289R	WO 98/48878	11/05/98	PCT			
MP	290R	AU A 89312/98	01/07/99	AUSTRALIAN			

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	291R	
	292R	

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[Signature]

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310R					

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311R	
312R	
313R	
314R	
315R	
316R	

Examiner *AK-B*

Date Considered: 06-10-05

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